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Date: July 10, 2001  
File No: 82704

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: S. Patent Application

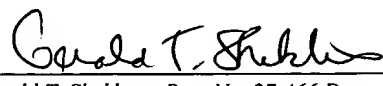
Applicant: Karl-Heinz SCHUSTER

Serial No.: 09/847,658

Filed: May 2, 2001

For: **PROJECTION LENS, IN  
PARTICULAR FOR  
MICROLITHOGRAPHY**

) I hereby certify that this correspondence is  
) being deposited with the United Postal Service  
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) Gerald T. Shekleton Reg. No. 27,466 Date

COMPLETION OF FILING REQUIREMENTS

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Sir:

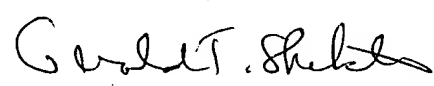
Transmitted herewith in response to the Notice to File Missing Parts of Application --  
Filing Date Granted dated May 28, 2001 are:

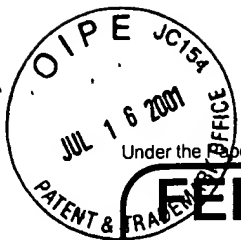
- (X) Declaration or Oath for this application.
- (X) Filing fee for this application with Fee Transmittal Form
- (X) Assignment(s) of the invention to Carl Zeiss and Recordation Cover Sheet.
- ( ) Petition for Extension of Time.
- (X) Other: Certified Copy of the priority document.
- (X) A Check in the amount of \$1,942.00 to cover the filing fee, surcharge and assignment recordal fee.
- ( ) Charge \$\_\_\_\_\_ to Deposit Account No. 23-0920.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0920. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.

120 South Riverside Plaza  
22nd Floor  
Chicago, Illinois 60606-3913  
Telephone: (312) 655-1500

**WELSH & KATZ, LTD.**

By

  
\_\_\_\_\_  
Gerald T. Shekleton  
Registration No. 27,466



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PTO/SB/17 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>FEE TRANSMITTAL</b> for FY 2001		<b>Complete if Known</b>	
		Application Number	09/847,658
		Filing Date	May 2, 2001
		First Named Inventor	Karl-Heinz SCHUSTER
		Examiner Name	
		Group Art Unit	
		Attorney Docket No.	82704
<b>TOTAL AMOUNT OF PAYMENT</b>		<b>\$1,942.00</b>	

*Patent fees are subject to annual revision.*

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																																																																																																									
<b>1.</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: <b>23-0920</b> Deposit Account Name: <b>Welsh &amp; Katz, Ltd.</b> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR § 1.27		<b>3. ADDITIONAL FEES</b>																																																																																																																																																																																																																																																									
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unavoidable</td><td></td></tr><tr><td>141</td><td>1,240</td><td>241</td><td>620</td><td></td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142</td><td>1,240</td><td>242</td><td>620</td><td></td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143</td><td>440</td><td>243</td><td>220</td><td></td><td></td><td>Design issue fee</td><td></td></tr><tr><td>144</td><td>600</td><td>244</td><td>300</td><td></td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123</td><td>50</td><td>123</td><td>50</td><td></td><td></td><td>Processing fee under 37 CFR § 1.17(q)</td><td></td></tr><tr><td>126</td><td>180</td><td>126</td><td>180</td><td></td><td></td><td>Submission of Information Disclosure Statement</td><td></td></tr><tr><td>581</td><td>40</td><td>581</td><td>40</td><td></td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr><tr><td>146</td><td>710</td><td>246</td><td>355</td><td></td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149</td><td>710</td><td>249</td><td>355</td><td></td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179</td><td>710</td><td>279</td><td>355</td><td></td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169</td><td>900</td><td>169</td><td>900</td><td></td><td></td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="7">Other fee (specify) _____</td><td></td></tr><tr><td colspan="7"><b>SUBTOTAL (3)</b></td><td><b>\$170.00</b></td></tr></tbody></table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	105	130	205	65			Surcharge - 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## UNITED STATES PATENT AND TRADEMARK OFFICE

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www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/847,658	05/02/2001	Karl-Heinz Schuster	1210/82704

CONFIRMATION NO. 7054

## FORMALITIES LETTER



\*OC00000006238785\*

Gerald T. Shekleton, Esq.  
Welsh & Katz, Ltd.  
120 S. Riverside Plaza, 22nd Floor  
Chicago, IL 60606

Date Mailed: 06/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1062.
  - \$342 for 19 total claims over 20.
  - \$720 for 9 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1902.**

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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01 FD:101	710.00 OP
02 FD:102	720.00 OP
03 FD:103	342.00 OP
04 FD:105	130.00 OP